



Kkalpana Industries (India) Limited

Date: 13.04.2021.

To,
The Manager,
Listing Department,
Bombay Stock Exchange Limited (Designated Stock Exchange),
P.J. Towers, Dalal Street,
Mumbai – 400 001

Sub: Filing of Compliances for the Quarter/Half Year ended 31st March 2021

Dear Sir/Madam,

Please find enclosed herewith the following for the related Quarter/ Half Year ended 31st March, 2021, for your record and reference:

1. Statement of Investor Complaints, for the Quarter ended 31st March 2021, pursuant to Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.
2. Statement showing holding of securities and Shareholding, for the quarter ended 31st March 2021, pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.
3. Reconciliation of Share Capital Audit, issued by Practicing Company Secretary, for the Quarter ended 31st March 2021, pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018.
4. Corporate Governance Report, for the Quarter ended 31st March 2021, pursuant to Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015
5. Compliance Certificate, issued jointly by the RTA and Compliance Officer of the Company, for the half year ended 31st March 2021, pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
6. Certificate issued by Practicing Company Secretary, for the half year ended 31st March 2021, pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the aforesaid information on record and oblige.

Thanking You,

Yours faithfully,

For Kkalpana Industries (India) Limited

Tanvi Panday

Tanvi Panday (ACS 31176)

Company Secretary

CC:

1. The Calcutta Stock Exchange Limited, 7 Lyons Range, Kolkata – 700 001.



Regd. Office : 2B, Pretoria Street, Kolkata - 700 071

Tel. : +91-33-2282 3744/45/3671/99, Fax : +91-33-2282 3739, E-mail : kolkata@kkalpana.co.in

Mumbai Office : 106, Laxmi Plaza, Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai - 400 053, India

Tel.: +91-22-67021470/71/72, Fax : +91-22-6702 1473 E-mail : mumbai@kkalpana.co.in

www.kkalpanagroup.com

CIN : L19202WB1985PLC039431



Ashok Kumar Daga

B. Com. (H), LLB., FCS
Practising Company Secretary

AVANI OXFORD, PHASE II
136, JESSORE ROAD, BLOCK - 1
FLAT # 1B, 1ST FLOOR
KOLKATA - 700055
Phone : +91 33 32916865
Mobile : 09831036425, 09830236425
E-mail : daga.ashok@gmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD FROM 1ST OCTOBER, 2020 TO 31ST MARCH, 2021.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **KKALPANA INDUSTRIES (INDIA) LTD** maintained at the office of its Registrar and Share Transfer Agent (RTA) **C B MANAGEMENT SERVICES (P) LIMITED** of P-22 Bondel Road Kolkata-700019, pertaining to transfer of equity shares of the company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and other recognized Stock Exchanges and based on the information provided by the Company and the RTA, I hereby certify that the Company has during half year ended on 31st March, 2021:

- A) From **1st October, 2020 to 31st, March, 2021** as per the records made available to us the Company has not received any Shares for transfer.
- B) As per the records made available to us there were no requests relating to issue of duplicate / split up, exchange or endorsement of calls/allotment monies received during the said period.

ASHOK
KUMAR DAGA

Digitally signed by
ASHOK KUMAR DAGA
Date: 2021.04.13
17:33:05 +05'30'

(Ashok Kumar Daga)
Company Secretary in Practice
Membership No. FCS -2699
CP No. 2948

Place: Kolkata
Date: 13.04.2021

UDIN NO. F002699C000079512