



Kkalpana Industries (India) Limited

Date: 07.10.2016.

To,
The Manager,
Listing Department,
Bombay Stock Exchange Limited (Designated Stock Exchange),
P.J. Towers, Dalal Street,
Mumbai – 400 001

Sub: Filing of Quarterly Compliances for the Quarter ended 30th September, 2016.

Dear Sir,

Enclosed please find herewith the followings for the Quarter ended 30th September, 2016, for your record and reference:

1. Statement showing holding of securities and Shareholding Pattern for the Quarter ended 30th September, 2016 as per Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2. Compliance Report on Corporate Governance as per Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
3. Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

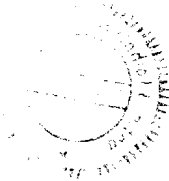
Kindly take the aforesaid information on record and oblige.

Thanking You,

Yours faithfully,

For Kkalpana Industries (India) Limited

A.B. Chakrabarty (FCS 7184)
Company Secretary



CC:

1. The Calcutta Stock Exchange Limited, 7 Lyons Range, Kolkata – 700 001.

Regd. Office : 2B, Pretoria Street, Kolkata - 700 071

Tel.: +91-33-2282 3744/45/3671/99, Fax : +91-33-2282 3739, E-mail : kolkata@kkalpana.co.in

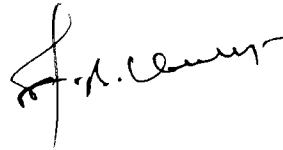
Mumbai Office : 106, Laxmi Plaza, Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai - 400 053, India

Tel.: +91-22-6702 1470/71/72, Fax : +91-22-6702 1473, E-mail : mumbai@kkalpana.co.in

www.kkalpanagroup.com

CIN : L19202WB1985PLC039431

General information about company	
Scrip code	526409
Name of the company	KKALPANA INDUSTRIES (INDIA) LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)



Sr No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

S. B. Chawla

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg X	Class eg y	Total	
(A)	Promoter & Promoter Group	8	69268375			69268375	73.63	69268375		69268375	73.63
(B)	Public	7474	24804555			24804555	26.37	24804555		24804555	26.37
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	7482	94072930			94072930	100	94072930		94072930	100

A. Chandy

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.63					69268375
(B)	Public				26.37					14285945
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					83554320

A. Chatterjee

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg. X	Class eg. y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	764860			764860	0.81	764860		764860	0.81
(d)	Any Other (specify)	4	68503515			68503515	72.82	68503515		68503515	72.82
Sub-Total (A)(1)		8	69268375			69268375	73.63	69268375		69268375	73.63
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	69268375			69268375	73.63	69268375		69268375	73.63
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	3	4000			4000	0	4000		4000	0
Sub-Total (B)(1)		3	4000			4000	0	4000		4000	0
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7099	7099050			7099050	7.55	7099050		7099050	7.55
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs	7	2515782			2515782	2.67	2515782		2515782	2.67
(e)	Any Other (specify)	365	15185723			15185723	16.14	15185723		15185723	16.14
Sub-Total (B)(3)		7471	24800555			24800555	26.36	24800555		24800555	26.36
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		7474	24804555			24804555	26.37	24804555		24804555	26.37
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		7482	94072930			94072930	100	94072930		94072930	100
Total (A+B+C)		7482	94072930			94072930	100	94072930		94072930	100

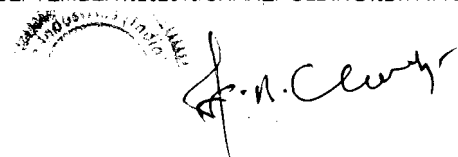
S. A. Chandy



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.81					764860
(d)				72.82					68503515
Sub-Total (A) (1)				73.63					69268375
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				73.63					69268375
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					0
Sub-Total (B) (1)				0					0
(3)	Non-institutions								
(a(i))				7.55					4920940
(a(ii))				2.67					2515782
(e)				16.14					6849223
Sub-Total (B) (3)				26.36					14285945
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				26.37					14285945
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					83554320
Total (A+B+C3)				100					83554320

A. A. Choudhary

Individuals/Hindu undivided Family					
Searial No.	1	2	3	4	
Name of the Shareholders (I)	NARENDRA KUMAR SURANA	DEV KRISHNA SURANA	TARA DEVI SURANA	SURENDRA KUMAR SURANA	Click here to go back
PAN (II)	ALXPS1214Q	DFOPS0071F	AKPPS2956N	ASUPK1917G	Total
No. of fully paid up equity shares held (IV)	2000	683850	75505	3505	764860
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2000	683850	75505	3505	764860
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.73	0.08	0	0.81
Number of Voting Rights held in each class of securities (IX)					
Class eg X	2000	683850	75505	3505	764860
Class eg Y					
Total	2000	683850	75505	3505	764860
Total as a % of Total Voting rights	0	0.73	0.08	0	0.81
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0	0.73	0.08	0	0.81
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					



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As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	2000	683850	75505	3505	764860
Reason for not providing PAN					
Reason for not providing PAN					

f. Selvaraj

Any Other (specify)					
Serial No	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHYAMBABA TREXIM PRIVATE LIMITED	INBARA HOLDINGS PRIVATE LIMITED	SRI RAM FINANCIAL CONSULTANTS PRIVATE LIMITED	KRISHNA COMMODEALS PRIVATE LIMITED	
PAN (II)	AADCS7138P	AABCI0671H	AANCS7197N	AADCK2974R	Total
No of the Shareholders (I)	1	1	1	1	4
No of fully paid up equity shares held (IV)	15551680	7250000	44785170	916665	68503515
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos shares held (VII) = (IV)+ (V)+ (VI)	15551680	7250000	44785170	916665	68503515
Shareholding as a % of total no of shares (calculated as per SCRR, 1957) (A II) As a % of (A-B-C2)	16.53	7.71	47.61	0.97	72.82
Number of Voting Rights held in each class of securities (IX)					
Class eg X	15551680	7250000	44785170	916665	68503515
Class eg y					
Total	15551680	7250000	44785170	916665	68503515
Total as a % of Total Voting rights	16.53	7.71	47.61	0.97	72.82
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No Of Warrants (Xi) (a)					
Shareholding . as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	16.53	7.71	47.61	0.97	72.82
Number of Locked in shares (XII)					

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No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	15551680	7250000	44785170	916665	68503515
Reason for not providing PAN					

A. N. Chawla