



Kkalpana Industries (India) Limited

Date: 13.10.2017.

To,
The Manager,
Listing Department,
Bombay Stock Exchange Limited (Designated Stock Exchange),
P.J. Towers, Dalal Street,
Mumbai – 400 001

Sub: Filing of Quarterly Compliances for the Quarter ended 30th September, 2017

Dear Sir,

Please find enclosed herewith the following for the Quarter ended 30th September, 2017, for your record and reference:

1. Compliance Report on Corporate Governance as per Regulation 27(2)(a) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.
2. Statement of Investor Complaints as per Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.
3. Statement showing holding of securities and Shareholding as per Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.
4. Reconciliation of Share Capital Audit by Practicing Company Secretary
5. Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
6. Certificate by Practicing Company Secretary pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the aforesaid information on record and oblige.

Thanking You,

Yours faithfully,

For Kkalpana Industries (India) Limited

Tanvi Panday

Tanvi Panday (ACS 31176)
Company Secretary



CC:

1. The Calcutta Stock Exchange Limited, 7 Lyons Range, Kolkata – 700 001.

Regd. Office : 2B, Pretoria Street, Kolkata - 700 071

Tel. : +91-33-2282 3744/45/3671/99, Fax : +91-33-2282 3739, E-mail : kolkata@kkalpana.co.in

Mumbai Office : 106, Laxmi Plaza, Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai - 400 053, India
Tel.: +91-22-67021470/71/72, Fax : +91-22-6702 1473 E-mail : mumbai@kkalpana.co.in

www.kkalpanagroup.com

CIN : L19202WB1985PLC039431



Ashok Kumar Daga

B. Com. (H), LLB., FCS
Practising Company Secretary

AVANI OXFORD, PHASE II
136, JESSORE ROAD, BLOCK - 1
FLAT # 1B, 1ST FLOOR
KOLKATA - 700055
Phone : +91 33 32916865
Mobile : 09831036425, 09830236425
E-mail : daga.ashok@gmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD FROM 1ST APRIL, 2017 TO 30TH SEPTEMBER, 2017.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **KKALPANA INDUSTRIES (INDIA) LTD** maintained at the office of its Registrar and Share Transfer Agent (RTA) **C B MANAGEMENT SERVICES (P) LIMITED** of P-22, Bondel Road Kolkata-700019, pertaining to transfer of equity shares of the company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and other recognized Stock Exchanges and based on the information provided by the Company and the RTA, I hereby certify that the Company has delivered during half year ended on 30th September, 2017:

- A) Share Certificate(s) relating to the transfer of shares received during the period from **1st April, 2017 to 30th, September, 2017** as entered in the Memorandum of Transfers, have been issued **within thirty days** from the respective date of lodgment for transfer, sub-division, consolidation, renewal of each deed.
- B) As per the records made available to us there were no requests relating to issue of duplicate, exchange or endorsement of calls/allotment monies received during the said period.

Date: 13/10/2017

Place: Kolkata

ASHOK KUMAR DAGA
Practising Company Secretary
FCS-2699 & CP-2948