



# Kkalpana Industries (India) Limited

Date: 01.06.2021.

To,  
The Manager,  
Listing Department,  
Bombay Stock Exchange Limited (Designated Stock Exchange),  
P.J. Towers, Dalal Street,  
Mumbai – 400 001

**Sub: Submission of Annual Secretarial Compliance Certificate for the financial year ended 31<sup>st</sup> March, 2021**

**Ref: Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

With reference to the subject matter, please find attached herewith the Annual Secretarial Compliance Certificate issued by Mr. Ashok Kumar Daga (FSC: 2699, COP: 2948), Practicing Company Secretary, for the Financial Year ended 31<sup>st</sup> March, 2021, pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated February 8, 2019.

Kindly take the aforesaid information on record and oblige.

Thanking You,

Yours faithfully,

For Kkalpana Industries (India) Limited

*Tanvi Panday*  
**Tanvi Panday (ACS 31176)**  
**Company Secretary**



CC:

1. The Calcutta Stock Exchange Limited, 7 Lyons Range, Kolkata – 700 001.

**Regd Office : 2B, Pretoria Street, Kolkata - 700 071**

**Tel. : +91.33-2282 3744/45/3671/99, Fax : +9133-2282 3739, E-mail : kolkata@kkkpana.co.in**

**Mumbai Office : 106, Laxmi Plaza, Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai - 400 053, India**

**Tel.: +91-22-67021470/71/72, Fax : +91-22-6702 1473 E-mail : mumbai@kkalpana.co.in**

[www.kkalpanagroup.com](http://www.kkalpanagroup.com)

CIN: L19202WB1985PLC039431



**Ashok Kumar Daga**

B. Com. (H), LLB., FCS  
Practising Company Secretary

AVANI OXFORD, PHASE II  
136, JESSORE ROAD, BLOCK - 1  
FLAT # 1B, 1ST FLOOR  
KOLKATA - 700055  
Phone : +91 33 32916865  
Mobile : 09831036425, 09830236425  
E-mail : daga.ashok@gmail.com

### Secretarial Compliance Report

Kkalpana Industries (India) Limited for the year ended 31st March, 2021  
[Pursuant to Circular No. CIR/CFD/CMDI/27/2019 dated 08/02/2019 issued by Securities and Exchange Board of India]

To,  
The Members of  
Kkalpana Industries (India) Limited  
2B, Pretoria Street,  
Kolkata - 700 071

I have examined:

- a. all the documents and records made available to me and explanation provided by **Kkalpana Industries (India) Limited** (“the listed entity”),
- b. the filings/ submissions made by the listed entity to the stock exchanges,
- c. website of the listed entity,
- d. any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021 in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-



**Ashok Kumar Daga**

B. Com. (H), LLB., FCS  
Practising Company Secretary

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- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; - **Not Applicable to the Company since no shares issued during the year under review**
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; -**Not Applicable since no buy back was considered during the year under review.**
- e. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; - **Not Applicable since the company has not issued shares as per (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999 during the year**
- f. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; -**Not applicable since no debt securities were issued during the year**
- g. Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; -**Not applicable since no preference shares were issued during the year under review.**
- h. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

Circulars/ guidelines issued by SEBI in respect of aforesaid regulations and based on the above examination, I hereby report that, during the Review period.

I further certify that the company has complied with the conditions of Disclosure of material impact of CoVID-19 pandemic on Company's Business Operations under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("LODR Regulations") pursuant to Regulation 30 of LODR Regulations read with SEBI Circular No. SEBI/HO/CFD/CMD1/CIR/P/2020/84 dated 20th May, 2020,



**Ashok Kumar Daga**

B. Com. (H), LLB., FCS  
Practising Company Secretary

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- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
1	As per Regulations of LODR, the Company has complied with the provisions of all applicable regulations.	NIL	NIL

- b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: **NA**

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any.
—	—	—	—	—



**Ashok Kumar Daga**

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Practising Company Secretary

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d. The listed entity has taken the following actions to comply with the observations made in previous reports: **NA**

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31st March, 2021	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
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Place: Kolkata

Date: 28/05/2021

UDIN NO. F002699C000389173

**ASHOK  
KUMAR  
DAGA**

Digitally signed by ASHOK KUMAR DAGA  
DN: c=IN, o=Personal, postalCode=700055,  
st=West Bengal,  
2.5.4.20=b069ea74630b02cf0d04030a54bb  
c8d56a161a8d6a6e43fb947214aa1db8287  
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serialNumber=a817f638ec13cde0284625  
ef1f41720430b7d520d786bfd48e3c12fc83  
aef5c, cn=ASHOK KUMAR DAGA  
Date: 2021.05.28 22:03:04 +05'30'

**Ashok Kumar Daga**

**(Practising Company Secretary)**

**FCS No. 2699, CP No. 2948**