



# Kkalpana Industries (India) Limited

(Formerly Kalpena Industries Ltd.)

Date: 14<sup>th</sup> October, 2015.

To,  
The Manager,  
Listing Department,  
The Bombay Stock Exchange Limited (Designated Stock Exchange)  
PJ Towers, Dalal Street,  
Mumbai – 400 001

Fax: 022 - 2272 3121/2037/39/41/61/1072

**Sub: Filing of Quarterly Compliances for the Quarter ended 30<sup>th</sup> September, 2015.**

Dear Sirs,

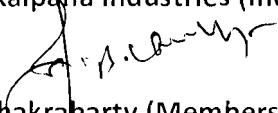
Enclosed please find herewith the followings for the Quarter ended 30<sup>th</sup> September, 2015, for your record and reference:

1. Shareholding Pattern as per Clause 35 of the Listing Agreement.
2. Corporate Governance Certificate as per Revised Clause 49 of the Listing Agreement.
3. Reconciliation of Share Capital Audit Certificate by Practicing Company Secretary.
4. Certificate under Clause 47 by Practicing Company Secretary.

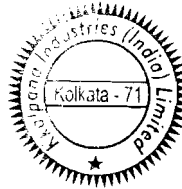
Thanking You,

Yours faithfully,

For Kkalpana Industries (India) Limited

  
A.B. Chakrabarty (Membership No. FCS 7184)  
Company Secretary

Address: P-145 Kalindi Housing Estate, Kolkata – 700 089.



CC:

1. The Calcutta Stock Exchange Limited, 7, Lyons Range, Calcutta- 700 001.

Regd. Office : 2B, Pretoria Street, Kolkata - 700 071

Tel. : +91-33-2282 3744/45/3671/99, Fax : +91-33-2282 3739, E-mail : kolkata@kkalpana.co.in

Mumbai Office : 106, Laxmi Plaza, Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai - 400 053, India

Tel.: +91-22-67021470/71/72, Fax : +91-22-6702 1473 E-mail : mumbai@kkalpana.co.in

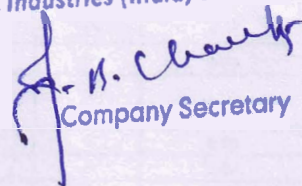
www.kkalpanagroup.com

CIN : L19202WB1985PLC039431

## Introductory sub-table (I)(a)

Name of the Company: KKALPANA INDUSTRIES (INDIA) LIMITED (FORMERLY KALPENA INDUSTRIES LIMITED)			
Scrip Code, Name of the scrip, class of security: 526409 (BSE), KKALPANAIND, EQUITY			
Quarter ended: 30th September, 2015			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NA	NA
Held by public	NIL	NA	NA
<b>Total - D</b>	<b>NIL</b>	<b>NA</b>	<b>NA</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NA	NA
Held by public	NIL	NA	NA
<b>Total - E</b>	<b>NIL</b>	<b>NA</b>	<b>NA</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NA	NA
Held by public	NIL	NA	NA
<b>Total - F</b>	<b>NIL</b>	<b>NA</b>	<b>NA</b>
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
	94072930		100

For Kkalpana Industries (India) Limited

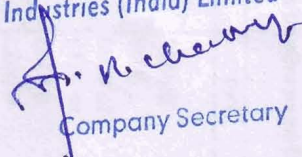

  
Company Secretary

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family							
		5	764860	764860	0.81	0.81	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	4	68503515	68503515	72.82	72.82	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)		0	0	0	0.00	0.00	0	0.00
(e-ii)		0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>9</b>	<b>69268375</b>	<b>69268375</b>	<b>73.63</b>	<b>73.63</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)							
		0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i		0	0	0	0.00	0.00	0	0.00
d-ii		0	0	0	0.00	0.00	0	0.00
		0	0	0	0.00	0.00	0	0.00
		0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=</b>	<b>9</b>	<b>69268375</b>	<b>69268375</b>	<b>73.63</b>	<b>73.63</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	3	4000	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(h-i)		0	0	0	0.00	0.00	0	0.00
(h-ii)		0	0	0	0.00	0.00	0	0.00

For Kkalpana Industries (India) Limited

*S. N. Chaudhary*  
Company Secretary

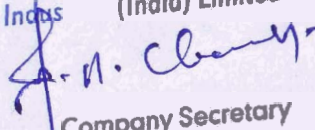
	Sub-Total (B)(1)	3	4000	0	0.00	0.00	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	128	14608511	6603011	15.53	15.53	0	0.00
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	7300	6833236	4559121	7.26	7.26	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	14	2828267	2828267	3.01	3.01	0	0.00
(c)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(c-i)	Trust & Foundations, OCB	0	0	0	0.00	0.00	0	0.00
(c-ii)	Non Resident Individuals	212	459865	126865	0.49	0.49	0	0.00
(c-iii)	Clearing Members	28	70676	70676	0.08	0.08	0	0.00
	Sub-Total (B)(2)	7682	24800555	14187940	26.36	26.36	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	7685	24804555	14187940	26.37	26.37	0	0.00
	TOTAL (A)+(B)	7694	94072930	83456315	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public					0.00		
	Sub-Total (C )	0	0	0	0		0	0
	GRAND TOTAL (A)+(B)+(C)	7694	94072930	83456315	100	100.00	0	0.00

For Kkalpana Industries (India) Limited  
  
 Company Secretary

**(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Tara Devi Surana	505	0.0005	0	NA	0.00	NIL	NA	NIL	NA	0.0005
2	Narrindra Suranna	2000	0.0021	0	NA	0.00	NIL	NA	NIL	NA	0.0021
3	Dev Krishna Surana	683850	0.7269	0	NA	0.00	NIL	NA	NIL	NA	0.7269
4	Surendra Kr. Surana	3505	0.0037	0	NA	0.00	NIL	NA	NIL	NA	0.0037
5	Dalam Chand Surana	75000	0.0797	0	NA	0.00	NIL	NA	NIL	NA	0.0797
6	Shyambaba Trexim Pvt.Ltd.	15551680	16.5315	0	NA	0.00	NIL	NA	NIL	NA	16.5315
7	Shriram Financial Consultants Pvt. Ltd	44785170	47.6069	0	NA	0.00	NIL	NA	NIL	NA	47.6069
8	Inbara Holdings Pvt. Ltd.	7250000	7.7068	0	NA	0.00	NIL	NA	NIL	NA	7.7068
9	Krishna Comodelas Pvt. Ltd.	916665	0.9744	0	NA	0.00	NIL	NA	NIL	NA	0.9744
<b>TOTAL</b>		<b>69268375</b>	<b>73.63</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>73.6325</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

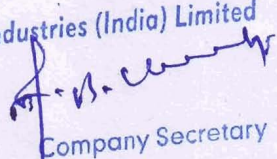
For Kkalpana Indus (India) Limited  
  
 Company Secretary

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Subhlabh Vintrade Pvt. Ltd	7750000	8.24	NIL	NA		NA	8.24
2	Jagua Industries & Sales Co. Pvt. Ltd	4590135	4.88	NIL	NA		NA	4.88
3	Isana Green Ventures Ltd	1068170	1.14	NIL	NA		NA	1.14
TOTAL		13408305	14.25	0	NA	0	NA	14.25

*S. A. Chandy*  
Company Secretary

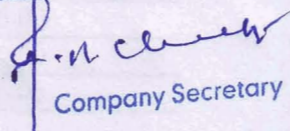
**(b)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Subhlabh Vintrade Pvt. Ltd	7750000	8.24	0	NA	0	NA	8.24
2								
3								
4								
5								
TOTAL		7750000	8.24	0	NA	0	NA	8.24

For Kkalpana Industries (India) Limited  
  
 Company Secretary

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter /Promoter Group/Public
1	NA	NIL	NIL	NIL
2				
3				
4				
TOTAL		0	NIL	

For Kkalpana Industries (India) Limited  
  
Company Secretary

**(I)(a) Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>

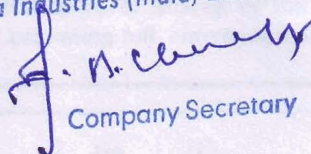
For Kkalpana Industries (India) Limited

  
Company Secretary

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held  
by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
2				
3				
4				
5				
6				
7				
8				
9				
<b>TOTAL</b>			<b>0</b>	

For Kkalpana Industries (India) Limited

  
Company Secretary